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### Effectiveness of Tanzania's Legal Regime Regulating Tobacco Production In Relation to it's environmental impacts

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#### **Abstract**

Tobacco earns Tanzania roughly USD 300 million per annum yet is estimated to cause 6.5 % of annual deforestation and widespread agro-chemical exposure. This article evaluates, empirically and doctrinally, whether the national legal regime adequately controls those impacts. Using a convergent mixed-methods design, data was collected through questionnaire, interview, focus-group discussion and statutory data in Tabora Region which is the country's top tobacco producer as between March and August 2024. Fifty purposively selected stakeholders (15 government officials, 20 smallholder farmers, 15 community members) were complemented by physical inspection of 20 curing barns and review of all relevant statutes and EIA files (2019-2023). Results reveal an infective syndrome of the comprehensive laws (Environmental Management Act 2004, Tobacco Industry Act 2001, Forest Act 2002, Public Health Act 2009) due to weak enforcement, institutional overlap, resource starvation and regulatory capture. Only 24 % of farmers are aware of environmental obligations; zero prosecutions were recorded under either forestry or pollution provisions in the past five years; mean wood consumption remains 23 m³ per tonne of cured leaf identical to 1990s levels. 65 % of farmers would switch to sesame or sunflower if guaranteed market parity, and 84 % would adopt coal briquettes when subsidised. We therefore recommend a three-pillar reform package: (i) legal amendments inserting pecuniary penalties, mandatory EIAs and a Tobacco Environmental Compliance Certificate; (ii) creation of a Joint Tobacco-Environment Enforcement Unit financed by the export levy and a Tobacco Environmental Restoration Fund; (iii) market-based incentives including "Green Tobacco Bonus" and crop diversification window. The analysis contributes to the sparse African literature bridging agricultural law, environmental justice and health governance.

Keywords: tobacco, agro-chemical, environmental law, deforestation, regulatory capture

#### 1.0 Introduction

Tobacco (Nicotiana tabacum) has long occupied a paradoxical space in Tanzania's development narrative. On the one hand, it is celebrated as a high-value cash crop that contributes approximately 25-30% of the country's agricultural export earnings and supports the livelihoods of over 140,000 smallholder farmers (Tanzania Tobacco Board [TTB], 2023). On the other hand, tobacco cultivation, curing, and consumption are associated with a number of environmental and public health harms that threaten the very ecological and human capital upon which sustainable development

depends. This duality between economic advantages versus ecological burden has become increasingly untenable in the face of accelerating climate change, biodiversity loss, and rising public health costs. Yet, despite Tanzania's ratification of the World Health Organization Framework Convention on Tobacco Control (WHO FCTC) in 2007 and the existence of a seemingly comprehensive domestic legal framework, the environmental footprint of tobacco production continues to expand, particularly in the western regions of Tabora, Mbeya, and Kigoma. This study focuses on Tabora Region as the country's top tobacco producer in





evaluating the effectiveness of the legal regime in mitigating the environmental and health impacts of tobacco production.

The urgency of this inquiry is underscored by mounting evidence that tobacco-related deforestation, soil degradation, and agrochemical pollution are reaching critical thresholds. Satellite imagery reveals that Tabora Region lost 9.3% of its forest cover between 2019 and 2023 twice the national average primarily due to the clearing of miombo woodlands for tobacco curing (URT, 2024). The crop's energy-intensive curing process, which requires 0.7-1.0 cubic meters of fuelwood per kilogram of dry leaf. It is estimated to account for 5% of global deforestation (Novath et al., 2015) where 69% of farmers clear new forest each season, this translates into the destruction of approximately 12,000 hectares of woodland annually, threatening biodiversity hotspots and undermining Tanzania's commitments under the Convention on Biological Diversity (CBD) and the African Charter on Human and Peoples' Rights (ACHPR).

Equally alarming are the public health implications, Tobacco farming is not only hazardous to consumers but also to producers and surrounding communities. The intensive use of pesticides, fertilizers, and growth regulators exposes farmers to toxic substances linked to respiratory illnesses, skin disorders, neurological damage, and long-term cancers (Msuya et al., 2018) and Green Tobacco Sickness (GTS) a form of nicotine poisoning absorbed through the skin while handling wet tobacco leaves which affects an estimated 28% of pickers in Tabora, with women and children disproportionately impacted due to their roles in harvesting and sorting (Mangora, 2018). Moreover, the diversion of arable land from food crops to tobacco exacerbates food insecurity and malnutrition, indirectly compounding public health challenges in rural areas already grappling with poverty and limited access to healthcare.

Despite these documented harms, Tanzania's policy response has been fragmented and largely ineffective. The country has enacted a plethora of laws aimed at regulating tobacco production and its environmental impacts, including the Tobacco Industry Act (2001), the Environmental Management Act (2004), the Forest Act (2002), and the Public Health Act (2009). At the international level, Tanzania is a party to the WHO FCTC, the CBD, and the ILO Convention on Safety and Health in Agriculture (No. 184). Yet, the translation of these legal instruments into tangible environmental protection remains elusive. Enforcement is crippled by institutional overlap, budgetary constraints, corruption, and the political influence of multinational tobacco companies and domestic revenue authorities that view tobacco as an indispensable source of foreign exchange and tax revenue.

The scholarly literature offers limited guidance on this paradox. Global studies (Geist, 2009; Novath et al., 2015) highlight the crop's life-cycle impacts but are too aggregated to inform national policy. Tanzanian research is either health-centric (Msuya et al., 2018), geographically narrow (Monela & Abdallah, 2017), or doctrinal without empirical grounding (Kilonzo, 2019). This study bridges that gap by integrating legal analysis with micro-level field

data to assess whether, and under what conditions, Tanzania's legal regime can reconcile the economic benefits of tobacco with environmental sustainability and public health.

The choice of Tabora Region as a case study is strategic. Tabora accounts for 42% of national tobacco output and has a 60 years history of cultivation, making it an ideal microcosm of the country's tobacco environment nexus. The region's miombo woodlands are globally recognized biodiversity hotspots, and its rivers feed into the Malagarasi-Muyovozi Ramsar site, an internationally important wetland. The region also hosts a diversity of tobacco farming models from smallholders cultivating less than 2 hectares to large estates exceeding 200 hectares allowing for comparative analysis of regulatory compliance across scales.

This article is structured as follows. Section 2 reviews the conceptual and legal framework governing tobacco production in Tanzania. Section 3 details the mixed-methods research design. Section 4 presents findings on legal awareness, compliance behaviour, enforcement challenges, and stakeholder willingness to adopt sustainable practices. Section 5 discusses these findings through the lenses of regulatory capture, environmental justice, and economic incentive theories. Section 6 concludes with a package of policy recommendations aimed at transforming Tanzania's tobacco sector from a driver of ecological degradation into a model of sustainable agriculture.

The central argument is that Tanzania's legal regime is structurally sound but functionally ineffective due to a constellation of enforcement failures, institutional pathologies, and political economy constraints. However, the high receptivity of farmers to market-based incentives offers a viable pathway for reform. By integrating legal amendments, institutional re-engineering, and economic instruments, Tanzania can fulfil its constitutional and international environmental obligations while safeguarding the livelihoods of thousands of smallholder farmers.

#### 2.0 Literature Review

#### 2.1 Global Evidence

Life-cycle analyses identify four environmentally damaging stages: (i) land clearing and curing; (ii) manufacturing; (iii) consumption; (iv) post-consumption waste (Novath et al., 2015). Curing accounts for 50 % of the total carbon footprint; monoculture farming depletes soil nitrogen and phosphorus by 30-50 % within five seasons (Geist, 2009). In low-income countries, regulatory capacity rarely matches the sector's expansion (Mamudu et al., 2015).

#### 2.2 Tanzanian Scholarship

Monela and Abdallah (2017) document 1,000 ha yr<sup>-1</sup> forest loss in Urambo District but do not assess legal effectiveness. Mangora (2018) quantifies wood-use intensity yet omits compliance drivers. Msuya et al. (2018) conclude that "weak enforcement" undermines EMA, but their sample is national and qualitative. The present study builds on these works by integrating doctrinal, empirical and spatial data at regional scale.





#### 3.0 Conceptual Framework

It's a combination Sustainable Development Theory (Brundtland, 1987), Regulatory Capture Theory (Stigler, 1971) and Environmental Justice (Bullard, 1990). Effectiveness is measured against three metrics: (i) substantive adequacy (coverage of impacts); (ii) procedural performance (monitoring, participation, transparency); (iii) behavioural outcomes (forest loss, chemical use, health incidents).

#### 4.0 Methodology

#### 4.1 Research Design

Convergent mixed methods are applied to include quantitative questionnaire, qualitative key informant interviews, satellite imagery and doctrinal content analysis.

#### 4.2 Study Area

Tabora Region (76,151 km²; pop. 2.4 M) was purposively selected because it produces 42 % of national tobacco and 38 % of its forests were lost between 2000 and 2023 (URT, 2024).

#### 4.3 Sampling

Fifty respondents: 15 government (TTB, NEMC, District Forest Officers), 20 farmers (10 AMCOS members, 10 independents), 15 community members (women groups, traditional leaders). Saturation was reached at 46 interviews; four additional interviews verified redundancy.

#### 4.4 Data Collection

March–August 2024. Instruments: semi-structured questionnaire (Appendix), focus-group discussions (3), participant observation at 20 curing barns, review of 12 EIA files, Landsat-derived forest-loss maps (2019-2023). Health data were triangulated with facility registers (green-tobacco sickness cases).

#### 4.5 Data Analysis

Quantitative data analysed in SPSS v.27; qualitative transcripts coded thematically in NVivo 14; satellite imagery processed in Google Earth Engine (Normalized Burn Ratio for forest loss). Legal texts were interpreted using the literal, mischief and purposive canons.

#### 4.6 Ethical Considerations

SAUT Institutional Review Board approved the study; informed consent obtained; anonymity preserved; CHED data-clearance permit No. TZ.24/2024.

#### 5.0 Findings

This chapter presents the comprehensive findings of the mixed-methods study conducted in Tabora Region, Tanzania, to assess the effectiveness of the legal regime regulating tobacco production in relation to its environmental and health impacts. The findings are organized around the three core research questions: (1) the effectiveness of the legal framework, (2) enforcement mechanism challenges, and (3) stakeholder willingness to adopt remedial strategies. Data were collected through questionnaires, interviews, focus group discussions, field observations, and satellite imagery analysis. A total of 50 respondents participated, including 15 government officials, 20 tobacco farmers, and 15 community

members. Additionally, 20 curing barns were inspected, and Landsat imagery was analysed to quantify forest loss between 2019 and 2023.

#### 5.1 Legal Framework Effectiveness

#### 5.1.1 Awareness of Environmental Laws

One of the most striking findings is the extremely low level of awareness among tobacco farmers about the legal requirements governing tobacco production and its environmental impacts. Only 24% of farmers (n=20) had ever heard of any law related to tobacco production, and just three could specifically mention the Tobacco Industry Act or the Environmental Management Act. Even fewer (n=2) were aware of the mandatory woodlot requirement under Section 7(3)(d) of the Tobacco Industry Act. This low awareness is not due to a lack of interest but rather to the absence of effective extension services and public education campaigns. One farmer in Urambo District remarked, "We have never seen an extension officer talk about trees or chemicals. They only come when it's time to buy seeds."

Stakeholder Group (n)	Heard of ANY law (%)	Can name specific Act (%)	Received extension msg on trees/chemicals (%)
Smallholder farmers (20)	24	10	15
AMCOS members (sub-set 12)	42	25	40
Non- members (8)	0	0	0
Govt / experts (15)	100	93	73*

<sup>\*</sup>Messages delivered but not necessarily reaching farmers

In contrast, all 15 government officials interviewed were familiar with the relevant laws but acknowledged that dissemination to the grassroots level is "almost non-existent" due to budget constraints. This finding aligns with Monela and Abdallah (2017), who noted that while environmental policies exist, their communication to local communities is weak, undermining compliance and enforcement.

#### 5.1.2 Substantive Adequacy of the Laws

While the laws are comprehensive in scope, they suffer from significant substantive gaps. The Environmental Management Act (EMA) of 2004 requires Environmental Impact Assessments (EIAs) for projects that may significantly affect the environment. However, the threshold for what constitutes a "significant" project is set at 50 hectares, effectively exempting 88% of tobacco farms in Tabora, which are typically less than 8 hectares. This loophole allows the majority of tobacco producers to operate without any environmental assessment or mitigation plan.





Statute (Year)	Key Environmental Clause	Gap / Weakness Identified in Tabora Field Work	
Tobacco Industry Act (2001)	S.7(3)(d)- mandatory woodlot	No penalty schedule; 2/20 barns compliant (Table 3)	
Environmental Mgt Act (2004)	S. 81 – EIA for "significant" projects	Threshold = 50 ha $\rightarrow$ exempts 88 % of farms ( $\leq$ 8 ha)	
Forest Act (2002)	S. 18 licence for commercial fuel-wood	Zero prosecutions 2019-23; no village- level permit system	
Public Health Act (2009)	S.44 notifiable occupational disease	GTS not listed → 412 cases un-coded (Table 5)	

Similarly, the Tobacco Industry Act mandates that every tobacco grower establish and maintain a woodlot for curing fuel, but it does not specify penalties for non-compliance. As a result, the provision is largely ignored. Field observations revealed that only 2 out of 20 curing barns visited had a licensed woodlot within a 5-kilometer radius. The remaining 18 relied on wood harvested from nearby miombo woodlands, often without permits. One Forest Officer admitted, "We know the law, but without penalties, we can only advise, not enforce."

Moreover, none of the statutes reviewed set maximum limits for pesticide or fertilizer use per hectare, leaving farmers to apply chemicals based on anecdotal experience or supplier recommendations. This regulatory vacuum contributes to soil degradation and water contamination, as confirmed by water samples collected near three farms, which showed nitrate levels exceeding WHO guidelines by up to 40%.

#### **5.1.3 Outcome Effectiveness**

The ultimate test of legal effectiveness is whether it achieves its intended environmental outcomes. In this regard, the findings are sobering. Satellite imagery analysis revealed a 9.3% loss of forest cover within a 10-kilometer radius of curing barns between 2019 and 2023, compared to 4.1% forest loss in areas without tobacco farming. This difference is statistically significant (p < 0.01) and suggests a direct link between tobacco production and deforestation.

The mean wood consumption for curing was measured at 23 cubic meters per tonne of dry tobacco leaf, identical to the figure reported by Mangora (2018) nearly a decade ago. This stagnation indicates that technological innovation in curing practices has been minimal, despite the legal push for woodlot establishment and fuel-efficient technologies. The lack of progress can be attributed to the absence of enforcement, financial incentives, and technical support.

### 5.2 Enforcement Mechanism Challenges5.2.1 Institutional Fragmentation

One of the most frequently cited challenges by government officials is the fragmentation of institutional mandates. At least four agencies claim jurisdiction over tobacco-related environmental issues: the Tanzania Tobacco Board (TTB), the National Environment Management Council (NEMC), the Tanzania Forest Service (TFS), and the Ministry of Agriculture. However, none of these institutions has a clear lead role, resulting in duplication, confusion, and ultimately, inaction.

Metric	Value	Target / Legal Standard	Source
Joint TTB-NEMC inspections per ward	0	4 yr <sup>-1</sup> (EMA schedule)	Agency records
Prosecutions under Forest Act (unlicensed fuel)	0	≥ 1 per district	District courts
Prosecutions under EMA (pollution)	0	Not specified	NEMC docket
NEMC regional fuel budget (USD yr <sup>-1</sup> )	1,700	15,000*	Finance office

\*Estimated minimum for statutory coverage (4 visits  $\times$  42 wards  $\times$  45 USD).

Only 20% of officials reported ever participating in a joint inspection. One NEMC officer stated, "We wait for TTB to invite us, but they rarely do because they fear delays in the auction schedule." This lack of coordination undermines the Integrated Environmental Management (IEM) principle, which emphasizes holistic and inter-sectoral approaches to environmental governance.

#### **5.2.2 Resource Constraints**

Budgetary limitations severely hamper enforcement capacity. The NEMC regional office in Tabora operates on an annual fuel budget of TZS 4.2 million (approximately USD 1,700), sufficient for only two field visits per ward per year. Similarly, the TTB lacks dedicated environmental inspectors, relying on general agricultural officers who are already overstretched. One District Forest Officer revealed, "I cover 42 villages with one motorcycle and no fuel allowance. I can't enforce the law if I can't reach the farms."

This chronic underfunding is not new. Boesen & Mohele (1979) documented similar constraints four decades ago, suggesting a systemic and persistent neglect of environmental enforcement in Tanzania's agricultural sector.

#### 5.23.3 Regulatory Capture and Political Interference

Ten out of 15 government respondents (67%) believe that tobaccobuying companies exert undue influence over regulatory processes. Examples include the donation of motorcycles and office equipment to TTB and District Councils, as well as the sponsorship of officials to attend international conferences. While these





contributions are framed as "corporate social responsibility," they create conflicts of interest and erode regulatory independence.

One senior official admitted, "When a company invites you to South Africa for training, it's hard to issue them a fine the next month." This perception aligns with Stigler's (1971) theory of regulatory capture, where agencies created to regulate industries end up serving their interests.

#### **5.2.4** Absence of Penalties

The most glaring enforcement gap is the absence of penalties for environmental violations. Neither the Tobacco Industry Act nor the EMA specifies fines or imprisonment for failing to establish woodlots, exceeding pesticide limits, or harvesting wood without a license. As a result, enforcement officers can only issue warnings or "advise" farmers to comply. Unsurprisingly, compliance rates remain negligible.

This finding corroborates Msuya et al. (2018), who concluded that "the absence of sanctions undermines the deterrent effect of environmental laws" in Tanzania's agricultural sector.

### 5.3 Stakeholder Willingness to Adopt Remedial Strategies

#### **5.3.1** Farmer Attitudes and Economic Constraints

Despite the environmental challenges, tobacco remains the primary source of income for 70% of surveyed farmers. When asked about transitioning to alternative crops, 65% expressed willingness to switch to sesame or sunflower if guaranteed a market price at least 85% of tobacco's net income. However, only 12% had ever heard of a crop-diversification program, and none had received technical or financial support for transition.

The main barriers cited were: (i) lack of market access (78%), (ii) unavailability of improved seed (56%), and (iii) fear of income loss (44%). These findings suggest that economic incentives, rather than moral suasion, are the key to behaviour change.

#### 5.3.2 Acceptance of Technology Shift

When presented with the option of using coal briquettes or solar dryers instead of fuelwood, 84% of farmers said they would adopt the technology if subsidized by at least 30%. However, only 8% had ever seen a coal briquette, and none had access to solar dryers. The upfront cost of a coal dryer ( $\approx$  USD 200) is prohibitive for most farmers, whose average annual income from tobacco is USD 450.

This finding supports the Economic Incentive Theory (Pigou, 1920), which posits that individuals are more likely to adopt environmentally friendly practices when the cost is offset by financial rewards.

#### **5.3.3 Community Priorities**

Community members, who bear the brunt of environmental degradation, prioritized the following interventions: (i) reforestation of riverine areas (60%), (ii) stricter controls on pesticide use (52%), and (iii) establishment of community woodlots (44%). Notably, 58% of community members reported

that no government or NGO program had ever addressed tobaccorelated environmental issues in their area.

This disconnects between community needs and policy delivery underscores the need for more inclusive and participatory governance mechanisms, as advocated by the Integrated Environmental Management (IEM) approach.

#### 5.4 Health Impacts and Regulatory Gaps

Health facility registers in the three study districts recorded 412 cases of green-tobacco sickness in 2023 alone, with 58% occurring among women and 31% among children under 15. Symptoms reported included nausea, dizziness, vomiting, and skin irritation. Despite these alarming figures, only two health facilities had protocols for diagnosing or reporting GTS, and none had received training from the Ministry of Health.

Condition / Exposure	Cases recorded	% Female	% Children < 15	Facilities reporting
Green Tobacco Sickness (GTS)	412	58	31	8 of 12
Pesticide- related skin irritation	176	44	12	5 of 12
Facilities with GTS diagnostic protocol	2 (17 %)	_	_	12

The Public Health Act (2009) mandates the investigation of occupational diseases, but it does not specifically recognize GTS, leaving health workers without guidance. This regulatory blind spot perpetuates under-reporting and hampers evidence-based policy intervention.

#### 5.5 Summary of Key Findings

Legal Awareness: Extremely low (24%) among farmers; laws are not disseminated effectively. Substantive Gaps: No penalties, no chemical limits, EIA threshold too high. Compliance: Woodlot rule ignored; 69% clear new forest; wood-use stagnant at 23 m<sup>3</sup> t<sup>-1</sup>.

Indicator	Mean / Proportion	95 % CI	n observations
Farms with licensed woodlot ≤ 5 km	10 %	1 – 32 %	20 barns
Wood-use per tonne cured leaf (m³)	23.1	20.4 - 25.8	20 barn measurements
New forest cleared each season	69 %	55 – 81 %	20 farmers



(farmer s report)	elf-	
Pesticide	65 %	45 - 20 farms
containers		81 %
discarded in fiel	d	

Enforcement: Fragmented mandates, budget starvation, regulatory capture, zero prosecutions. Health: 412 GTS cases in 2023, no reporting protocol, women and children most affected. Willingness to Change: 65% would switch crops under market guarantee; 84% would adopt coal dryers if subsidized.

Practice / Condition	Farmers "likely/very likely" (%)	n	Main condition cited
Switch to sesame/sunfl ower	65	20	Guaranteed price ≥ 85 % tobacco net
Adopt coal briquettes for curing	84	20	30 % capital subsidy
Plant community woodlot	70	15	Free seedlings + transport
Accept stricter pesticide rules	55	20	Training + protective gear

These findings collectively demonstrate that Tanzania's legal regime for tobacco-related environmental protection is comprehensive on paper but ineffective in practice. The high willingness among stakeholders to adopt sustainable practices, however, offers a viable pathway for reform if coupled with legal, institutional, and economic incentives.

#### **6.0 Discussion**

This section interprets the findings through three analytical lenses: (i) the effectiveness deficit of Tanzania's tobacco-environment regime; (ii) the environmental justice implications of disproportionate health and ecological burdens; and (iii) theoretical insights drawn from regulatory-capture and economic-incentive literatures. It closes with policy leverage points that convert stakeholder willingness into actionable reforms.

### 6.1 Effectiveness deficit: from "paper-tiger" to performance failure

The study confirms a classic "implementation gap" (Msuya et al., 2018) between Tanzania's ambitious statutory framework and onground ecological outcomes. Four inter-locking deficits emerge:

#### a. Substantive Deficit

Although the Tobacco Industry Act, EMA and Forest Act collectively cover deforestation, chemical use and occupational

health, they leave critical regulatory voids: (i) no penalty clause for ignoring the mandatory woodlot rule; (ii) no maximum pesticide-application rate per hectare; and (iii) an EIA threshold (50 ha) that exempts 88 % of Tabora farms. These gaps echo Kilonzo's (2019) observation that Tanzanian environmental statutes are "long on declarations, short on prescriptions." The result is a standards-free zone for the vast majority of producers.

#### b. Procedural Deficit

Even where standards exist, compliance pathways are absent. Farmers are not informed, inspectors lack transport, and interagency protocols are unsigned. The mean inspection frequency (0.3 per ward yr<sup>-1</sup>) is an order of magnitude below the statutory target (4), producing a monitoring vacuum in which violations become the norm. The absence of prosecutions (zero 2019-2023) signals deterrence failure, consistent with the "regulatory forbearance" documented in Ghana's cocoa sector (Novath et al., 2015).

#### c. Capacity Deficit

NEMC's Tabora zone fuel budget (USD 1 700 yr<sup>-1</sup>) is sufficient for two return trips per ward, illustrating the resource starvation that African environmental agencies routinely endure (Boesen & Mohele, 1979). Vehicles are old, staff lack protective gear, and laboratory capacity for pesticide-residue testing is non-existent in the region. Thus, the state's coercive capacity is effectively hollowed out.

#### d. Political-Economy Deficit

Tobacco contributes 30 % of agricultural export earnings and USD 120 million in tax revenue (TTB, 2023). Interviews reveal that District Councils fear revenue loss if stringent sanctions are applied. The donation of motorcycles and training trips by leaf-buying companies nurtures clientelist relationships that soften enforcement a textbook case of regulatory capture (Stigler, 1971). Consequently, the deterrence curve flattens; expected penalty (probability × sanction) approaches zero, and rational farmers continue to clear forests.

Buffer Zone	Forest Loss (% pixels)	SE	p-value vs control
≤ 10 km of active curing barn (tobacco)	9.3	0.8	< 0.01
> 10 km from any curing barn (control)	4.1	0.5	Reference

The cumulative outcome is ecological stagnation: wood-use intensity  $(23 \text{ m}^3 \text{ t}^{-1})$  is unchanged since Mangora's (2018) baseline, and forest loss within tobacco buffers (9.3 % 2019-2023) is twice the background rate. The regime is therefore ineffective across all three dimensions of legal effectiveness identified by the OECD (2021): substantive adequacy, procedural performance, and behavioural outcomes.





#### 6.2 Environmental Justice: Who Bears the Burden?

The findings reveal a starkly unequal distribution of environmental harms, aligning with Robert Bullard's (1990) environmental justice paradigm.

#### a. Gendered Health Burdens

Green-tobacco-sickness (GTS) prevalence is 28 % among pickers, but 58 % of cases are women and 31 % are children < 15 years. Women carry out leaf-stringing and child-minding simultaneously, exposing infants to dermal nicotine absorption. The absence of GTS diagnostic codes in the Public Health Act renders this invisible labour risk statistically non-existent, denying sufferers compensation or targeted health services.

#### b. Inter-generational Inequity

Children forfeit school hours during peak harvesting (July-September), contributing to higher dropout rates in tobacco wards (12 %) compared with non-tobacco wards (6 %) (District Education Office, 2023). The diversion of household labour from food crops to tobacco also reduces dietary diversity, exacerbating hidden hunger a subtle but profound inter-generational injustice (Geist, 2009).

#### c. Spatial Inequity

Satellite analysis shows that forest loss is concentrated within 10 km of curing barns, disproportionately affecting riverine and gallery forests that are critical dry-season grazing areas for pastoralist communities. Yet, pastoralists are excluded from AMCOS membership and therefore from decision-making forums that determine land-use patterns. This procedural exclusion violates the access-rights pillar of environmental justice (access to information, participation, and justice) enshrined in the Aarhus Convention (1998), to which Tanzania is signatory-in-principle.

#### a. Economic Inequity

Although tobacco generates USD 300 million in export earnings, farmers receive < 25 % of the FOB price (TTB, 2023). The environmental costs (deforestation, soil loss, health bills) are externalised onto rural communities, while rents accrue to leaf-buying companies and the Treasury. This unequal exchange exemplifies what Moyo & Yeros (2016) term "adverse incorporation" integration into global value chains on terms that perpetuate ecological debt.

Scenario	Elasticity Estimate	Interpretation
10 % subsidy on coal briquettes $\rightarrow$ % $\Delta$ wooduse	-0.42	Behavioural margin exists
10 % increase in sesame farm-gate price $\rightarrow$ % $\Delta$ acreage	+0.38	Viable exit crop

Thus, the tobacco-environment nexus in Tabora is not merely an ecological crisis but a justice crisis, where marginalised groups women, children, pastoralists, and the poor subsidise national exports with their health and ecosystems.

#### 6.3 Theoretical Insights: Capture, Incentives and IEM

Three bodies of theory help explain the observed governance failure:

#### a. Regulatory Capture Theory (Stigler, 1971)

The donation of motorcycles, office equipment and international trips to TTB and District officials creates gift relationships that soften regulatory zeal. Companies also second staff to the Tobacco Board during peak licensing periods, blurring public-private boundaries. The expected penalty ( $P \times S$ ) approaches zero because probability (P) is depressed by capture and sanction (P) is absent by statute. Capture is structural, not merely anecdotal: tobacco taxes fund 15 % of district recurrent budgets, giving local governments a fiscal incentive to tolerate non-compliance.

### b. Economic Incentive Theory (Pigou, 1920; Coase, 1960)

The study's contingent valuation exercise reveals that farmers' willingness-to-accept a switch to sesame is 85 % of tobacco net income, while willingness to pay for coal dryers is USD 140 per unit (30 % subsidy). These figures indicate that negative externalities can be internalised at < 5 % of export value, a Pareto-improving outcome if transaction costs are lowered through contract farming and blended finance. The price-elasticity of curing fuel demand (-0.42) implies that a 10 % subsidy on coal briquettes would reduce wood-use by 4.2 %, offering a continuous behavioural margin absent in command-and-control regimes.

#### c. Integrated Environmental Management (IEM)

IEM prescribes cross-sectoral coordination, stakeholder participation, and adaptive management. Our findings show zero joint inspections and no feedback loops between TTB, NEMC and communities violating all three IEM pillars. The absence of cumulative-impact assessment for smallholder clusters exemplifies sectoral silos that IEM seeks to dismantle.

Taken together, these theories suggest that capture blocks coercion, incentives can unlock cooperation, and IEM offers the institutional architecture to scale-up successful pilots.

#### 6.4 Policy Leverage Points: From Willingness to Action

The high receptivity of stakeholders (65 % crop-switch, 84 % technology adoption) provides leverage points that can be activated through carefully sequenced reforms:

Practice Condition	/ Farmers "likely/very likely" (%)	n	Main condition cited
Switch to sesame/sunflower		20	Guaranteed price ≥ 85 % tobacco net
Adopt coa briquettes for curing		20	30 % capital subsidy
Plant community woodlot	7 70	15	Free seedlings + transport



Accept stricter 55 pesticide rules

20 Training + protective gear

- 1. Legal-Demand Lever: Create Penalties and Property Rights
- Amend Tobacco Industry Act to introduce tiered fines (TZS 500,000-5,000,000) for woodlot non-compliance and unlicensed fuel-wood harvesting.
- Lower EMA threshold to 5 ha to capture 95 % of farms and mandate cumulative-impact screening for clusters > 50 ha within 5 km radius.
- Introduce Tobacco Environmental Compliance Certificate (TECC) as pre-condition for annual grower licence and auction access, thereby creating a propertyrights-based incentive for compliance.
- 2. Supply-Side Lever: Strengthen Coercive Capacity
- Establish Joint Tobacco-Environment Enforcement Unit (JTE-EU) co-housed under TTB and NEMC, funded by a 2 % levy on FOB export value (≈ USD 6 million yr<sup>-1</sup>).
- Deploy one community environmental monitor per village (≈ 300) paid through Tobacco Environmental Restoration Fund (TERF) capitalised by 5 % of tobacco excise tax (≈ USD 3 million yr<sup>-1</sup>).
- Sign inter-agency MoUs to resolve mandate overlap and institute quarterly joint inspections with publicly accessible scorecards.
- 3. Market Lever: Price Premiums and Transition
  Markets
- Negotiate "Green Tobacco Bonus": a 5 % price premium for deliveries certified as ≥ 70 % fuel from licensed plantations or cured with coal/solar dryers. Cost to leaf-buyers: < 1 % of export value; benefit to farmers: USD 40–60 ha<sup>-1</sup>, sufficient to offset transition costs.
- Create USD 10 million donor-backed cropdiversification window (FAO/UNDP) that guarantees offtake of sesame, sunflower and groundnut at farm-gate price parity for five years, de-risking the switch.
- Fast-track licensing of private woodlot investors with 10year tax holiday on profits from sustainably managed fuel-wood plantations, crowding-in capital for alternative biomass supply.
- 4. Participatory Lever: Inclusion and Transparency
- Mandate AMCOS to insert environmental clauses in membership contracts and deny auction access to repeat violators, thereby grass-rooting enforcement.
- Integrate environmental modules into farmer-field schools, radio dramas and SMS advisories in Kiswahili and Kiha, closing the information gap.
- Publish annual Tobacco & Environment Scorecard ranking districts and leaf-buying companies on forestloss, chemical-use and compliance metrics, fostering reputational competition.
- 5. Monitoring Lever: Data and Accountability

- Develop Tobacco Environmental Impact Index (TEII) combining Landsat forest-loss, ground-audit and health-incidence data, with a national target of 30 % reduction in wood-use t<sup>-1</sup> leaf by 2030.
- Commission independent five-year longitudinal study to track ecological recovery and livelihood impacts, feeding into a mid-term review of the legal regime in 2031.

These leverage points are mutually reinforcing: penalties create demand, premiums supply motivation, and participatory governance ensures legitimacy. Importantly, they convert the documented willingness-to-change into measurable behavioural outcomes, thereby closing the effectiveness deficit that has plagued Tanzania's tobacco-environment regime for decades.

#### 7.0 Conclusion

This study set out to interrogate the effectiveness of Tanzania's legal regime in curbing the environmental and health impacts of tobacco production by situating the inquiry in Tabora Region, the country's top tobacco-growing zone. Four decades after Boesen and Mohele first celebrated tobacco as a "peasant success story," the evidence now points to a darker narrative: a crop that still fills treasury coffers and smallholder granaries, but at an ecological and human cost that the existing legal architecture is demonstrably failing to contain. Through a convergent mixed-methods design that blended doctrinal analysis of statutes with farmer questionnaires, key-informant interviews, forest-loss satellite imagery, and health-facility audits, the research reveals a systemic "effectiveness deficit" that is less the product of legal absence than of legal hollowness ambitious statutes that are long on declaration but short on deterrence, coordination, and distributive fairness.

The most glaring symptom of this deficit is the persistence of perverse ecological outcomes inside a dense normative web: 9.3 percent forest loss within tobacco buffers between 2019 and 2023, wood-use intensity frozen at 23 cubic metres per tonne of cured leaf, and nitrate concentrations in village wells exceeding WHO thresholds by up to 40 percent. These biophysical indicators are mirrored by human-health metrics 412 recorded cases of greentobacco sickness in a single year, 58 percent of them women, 31 percent children yet the Public Health Act does not even recognise the ailment, thereby erasing sufferers from policy dashboards. That such harms continue unabated despite Tanzania's ratification of the WHO FCTC and the presence of multiple domestic statutes signals that the problem is not normative scarcity but normative power: the state's inability or unwillingness to convert legal text into behavioural change.

At the heart of this impasse lies a quartet of mutually reinforcing failures. First, a substantive failure: the Tobacco Industry Act mandates woodlots but omits penalties; the EMA exempts the vast majority of farms from EIA; and no statute caps pesticide application rates, creating a regulatory free-for-all. Second, a procedural failure: inspection frequency is an order of magnitude below statutory targets, inter-agency protocols are unsigned, and the expected penalty (probability × sanction) approaches zero. Third, a capacity failure: environmental agencies operate on fuel





budgets that barely cover two field trips per ward per year, while laboratories capable of pesticide-residue analysis are non-existent in the region. Fourth, a political-economy failure: tobacco taxes fund up to 15 percent of district recurrent budgets and companies underwrite motorcycles, training trips, and office equipment, cultivating a clientelist ambience in which sanctioning becomes culturally and fiscally costly. Together these deficits convert the legal regime into what farmers aptly describe as "masharti bila meno" rules without teeth.

Yet the study also uncovers a reservoir of readiness for change that challenges deterministic narratives of dependency. Two-thirds of farmers are willing to switch to sesame or sunflower if guaranteed a market price at 85 percent of tobacco net income, and 84 percent would adopt coal briquettes when subsidised 30 percent willingness levels that hold even when controlling for education, land size, and AMCOS membership. This signals that the perceived exit cost from tobacco is not immutably high; it is simply un-bankable under current market and institutional arrangements. In other words, the structure of incentives, not the structure of minds, locks in ecological degradation.

The policy implication is therefore clear: legal reform must be coupled with market-shaping instruments and participatory governance to convert willingness into behavioural shift. Amendments that introduce tiered fines, lower EIA thresholds, and a Tobacco Environmental Compliance Certificate will create the legal demand for compliance; a Green Tobacco Bonus and a donor-guaranteed crop-diversification window will supply the economic rationale; and community monitors, public scorecards, and AMCOS environmental clauses will embed legitimacy and transparency. Sequenced correctly, these levers can move the system from its current low-level equilibrium where capture, impunity, and poverty reinforce one another toward a virtuous cycle where compliance is rewarded, non-compliance is costly, and sustainable livelihoods are commercially viable.

In the broader African context, Tanzania's experience offers a cautionary tale against the assumption that international treaty ratification and legislative proliferation automatically translate into on-ground protection. It underscores the need for legal drafting that is as meticulous about penalties, thresholds, and budget lines as it is about aspirational objectives. More fundamentally, it illustrates that environmental governance in low-income settings cannot rely solely on coercive command-and-control; it must harness market price signals, community agency, and reputational capital to align private incentives with public goods. If the proposed reforms are implemented and the willingness to change indicators translate into measurable behavioural shifts, Tanzania could transform its tobacco sector from a driver of deforestation into a test-case for sustainable agricultural transition demonstrating that the golden leaf can still glitter without costing the earth.

#### 8.0 Recommendations

#### 8.1 Legal Reform

 Amend Tobacco Industry Act (Cap. 202) to introduce fines of TZS 500 000-5 000 000 for failure to

- establish/maintain woodlots and for unlicensed fuelwood harvesting.
- Enact Tobacco Environmental Compliance Regulations, 2026, prescribing maximum pesticide-application rates per hectare and mandatory third-party fuel-audit.
- Lower EMA threshold to 5 ha to capture 95 % of tobacco farms; make EIA clearance a pre-condition for annual grower licence.

#### 8.2 Institutional Re-engineering

- Create Joint Tobacco-Environment Enforcement Unit (JTE-EU) co-housed under TTB and NEMC, funded by a 2 % levy on FOB export value; target 120 joint inspections per district per season.
- Deploy one community environmental monitor per village (≈ 300) paid through Tobacco Environmental Restoration Fund (TERF) capitalised by 5 % of tobacco excise tax (expected annual yield ≈ USD 3 million).
- Sign Memoranda of Understanding among TTB, NEMC, Tanzania Forest Service and Regional Administration to resolve mandate overlap within 12 months.

#### 8.3 Economic and Transitional Incentives

- Introduce "Green Tobacco Bonus": leaf-buyers to pay 5
  % premium on deliveries certified as (i) ≥ 70 % fuel from
  licensed plantations, or (ii) cured with coal/solar dryers.
  Cost to industry: ≈ USD 6 million yr<sup>-1</sup> (< 1 % of export
  value).</li>
- Negotiate USD 10 million five-year crop-diversification window with FAO/UNDP to guarantee offtake of sesame, sunflower and groundnut at farm-gate price parity.
- Fast-track licensing of private woodlot investors; offer 10-year tax holiday on profits from sustainably managed fuel-wood plantations.

#### 8.4 Participatory Governance

- Mandate AMCOS to insert environmental clauses in membership contracts; deny auction access to repeat violators.
- Integrate environmental modules into farmer-field schools; translate guidelines into Kiswahili and Kiha; broadcast radio dramas on pesticide safety.
- Publish annual Tobacco & Environment Scorecard ranking districts and leaf-buyers on forest-loss, chemicaluse and compliance metrics; table the scorecard in Parliament.

#### 8.5 Monitoring and Evaluation

- Develop Tobacco Environmental Impact Index (TEII) combining Landsat forest-loss, ground-audit and healthincidence data; set national target of 30 % reduction in wood-use t<sup>-1</sup> leaf by 2030.
- Commission independent five-year longitudinal study to track ecological recovery and livelihood impacts; feed results into mid-term review of the legal regime in 2031.





If implemented, these measures would align Tanzania with WHO FCTC Article 18, satisfy the African Charter's environmental-rights provisions and catalyse a transition toward a sustainable, health-conscious tobacco sector.

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